

VANGUARD

INTEGRITY PROFESSIONALS

INFORMATION SECURITY EXPERTS

zOS BMC IOA for RACF STIG

Version: 7

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Description:

Group ID (Vulid): V-17985
Group Title: ZB000060
Rule ID: SV-32018r1_rule
Severity: CAT II
Rule Version (STIG-ID): ZIOA0060
Rule Title: BMC IOA security exits are not installed or configured properly.

Vulnerability Discussion: The BMC IOA security exits enable access authorization checking to BMC IOA commands, features, and online functionality.
If these exit(s) is (are) not in place, activities by unauthorized users may result. BMC IOA security exit(s) interface with the ACP. If an unauthorized exit

was introduced into the operating environment, system security could be weakened or bypassed. These exposures may result in the compromise of the operating system environment, ACP, and customer data.

Responsibility: Information Assurance Officer
IACcontrols: DCCS-1, DCCS-2, ECSD-1, ECSD-2

Check Content:

Interview the systems programmer responsible for the BMC IOA. Determine if the site has modified the following security exit(s):

IOASE06
IOASE07
IOASE09
IOASE12
IOASE16
IOASE32
IOASE40
IOASE42

Ensure the above security exit(s) has (have) not been modified.

If the above security exit(s) has (have) been modified, ensure that the security exit(s) has (have) been approved by the site systems programmer and the approval is on file for examination.

Fix Text: The System programmer responsible for the BMC IOA will review the BMC IOA operating environment. Ensure that the following security exit(s) is (are) installed properly. Determine if the site has modified the following security exit(s):

IOASE06
IOASE07
IOASE09
IOASE12
IOASE16
IOASE32
IOASE40
IOASE42

Ensure that the security exit(s) has (have) not been modified.

If the security exit(s) has (have) been modified, ensure the security exit(s) has (have) been checked as to not violate any security integrity within the

system and approval documentation is on file.

CCI: CCI-000035

Group ID (Vulid): V-16932
Group Title: ZB000000
Rule ID: SV-31825r1_rule
Severity: CAT II
Rule Version (STIG-ID): ZIOAR000
Rule Title: BMC IOA installation data sets are not properly protected.

Vulnerability Discussion: BMC IOA installation data sets have the ability to use privileged functions and/or have access to sensitive data. Failure to properly restrict access to these data sets could result in violating the integrity of the base product which could result in compromising the operating system or sensitive data.

Responsibility: Information Assurance Officer
IACControls: DCSL-1, ECAR-1, ECAR-2, ECCD-1, ECCD-2

Check Content:

1. Check with your IOA or Systems Programming personnel and compile the list of
BMC IOA Installation Datasets, Most likely similar to:
SYS2.IOA*.IOA*.* - /* All SYS2's */.
2. From the Administrator Main Menu Choose Option 2 Security Server Commands.
3. Then choose Option: 3 Data Set.
4. Type the resource names collected in option a.1 above into: Enter fully qualified (without quotes) data set or profile name:.
5. Hit enter.
6. Enter Y for Display covering profile?
7. Verify that the UACC is NONE.
8. Verify that Audit Successes and Failures specifies UPDATE or READ.
9. Tab down to Standard Access Permits and place an E next to it (hit enter) and
validate that UPDATE or higher access is limited to Systems Programming personnel. Verify Read access is given, if applicable, to:
Auditors
BMC Users
BMC STCs
Batch Users
Operations, Production Control and Scheduling Personnel.

10.If Conditional Access Permits: _ (E to edit data) has *data is present* next to it, place an E next to it and validate that conditional access permits of Update or higher are limited to Systems Programming Personnel as

well. Verify Read access is given, if applicable, to:

- Auditors
- BMC Users
- BMC STCs
- Batch Users
- Operations, Production Control and Scheduling Personnel.

11. Repeat steps 2 through 10 for all datasets in option 1. above..

12. If 7, 8, 9 and 10 are all true, there is NO FINDING.

13. If .7, 8, 9 and 10 are not true, this is a FINDING.

Fix Text: The IAO will ensure that update and alter access to BMC IOA installation data sets is limited to System Programmers only, and all update and alter access is logged. Read access can be given to all authorized users.

The installing Systems Programmer will identify and document the product data sets and categorize them according to who will have update and alter access and if required that all update and allocate access is logged. He will identify if any additional groups have update and/or alter access for specific data sets, and once documented he will work with the IAO to see that they are properly restricted to the ACP (Access Control Program) active on the system.

Data sets to be protected will be:
SYS2.IOA*.IOA*.* * /* All SYS2's */

The following commands are provided as a sample for implementing data set controls:

```
ad 'SYS2.IOA*.IOA*.*' uacc(none) owner(sys2) -
    audit(success(update) failures(read)) -
    data('BMC IOA Install DS')
pe 'SYS2.IOA*.IOA*.*' id(<syspautd>) acc(a)
pe 'SYS2.IOA*.IOA*.*' id(<audtautd>) acc(r)
pe 'SYS2.IOA*.IOA*.*' id(<bmcuser> <bmcbatch>) acc(r)
pe 'SYS2.IOA*.IOA*.*' id(<bmcstcs>) acc(r)
pe 'SYS2.IOA*.IOA*.*' id(<operautd> <pcspautd>) acc(r)

setr generic(dataset) refresh
```

CCI: CCI-000213

CCI: CCI-002234

Group ID (Vulid): V-17067
Group Title: ZB000001
Rule ID: SV-31947r1_rule
Severity: CAT II
Rule Version (STIG-ID): ZIOAR001
Rule Title: BMC IOA STC data sets are not properly protected.

Vulnerability Discussion: BMC IOA STC data sets have the ability to use privileged functions and/or have access to sensitive data. Failure to properly restrict access to these data sets could result in violating the integrity of the base product which could result in compromising the operating system or sensitive data.

Responsibility: Information Assurance Officer
IACControls: DCSL-1, ECAR-1, ECAR-2, ECAR-3, ECCD-1, ECCD-2

Check Content:

1 Check with your IOA or Systems Programming personnel and compile the list of

BMC IOA STC . Most likely simrlar to:
SYS3.IOA.*.IOAO.**.

2. From the Administrator Main Menu Choose Option 2 Security Server Commands.

3. Then choose Option: 3 Data Set

4. Type the resource names collected in option a.1 above into: Enter fully qualified (without quotes) data set or profile name: .

5. Hit enter.

6. Enter Y for Display covering profile?

7. Verify that the UACC is NONE.

8. Tab down to Standard Access Permits and place an E next to it (hit enter).

Validate that UPDATE or higher access is limited to Systems Programming personnel

Validate that Update access is permitted to BMC STCs, BMC Administrators, and batch users.

Verify Read access is limited to Auditors and BMC Users.

9. If Conditional Access Permits: _ (E to edit data) has *data is present* next to it, place an E next to it and hit enter.
 Validate that UPDATE or higher access is limited to Systems Programming personnel
 Validate that Update access is permitted to BMC STCs, BMC Administrators, and batch users.
 Verify Read access is limited to Auditors and BMC Users.
10. Repeat steps 2 through 9 for all datasets in option .1. above.
11. If 7, 8, and 9 are all true, there is NO FINDING.
12. If .7, 8, and 9 are not true, this is a FINDING.

Fix Text: The IAO will ensure that update and alter access to BMC IOA STC data sets is limited to System Programmers and/or BMC IOA s STC(s) and/or batch user(s) only. Read access can be given to auditors.

The installing Systems Programmer will identify and document the product data sets and categorize them according to who will have update and alter access and if required that all update and alter access is logged. He will identify if any additional groups have update and/or alter access for specific data sets, and once documented he will work with the IAO to see that they are properly restricted to the ACP (Access Control Program) active on the system.

Data sets to be protected will be:
 SYS3.IOA.*.IOAO.**

The following commands are provided as a sample for implementing data set controls:

```
ad 'SYS3.IOA.*.IOAO.**' uacc(none) owner(sys3) -
    audit(failures(read)) -
    data('BMC IOA STC DS')
pe 'SYS3.IOA.*.IOAO.**' id(<syspautd>) acc(a)
pe 'SYS3.IOA.*.IOAO.**' id(IOAGATE <bmcbatch>) acc(u)
pe 'SYS3.IOA.*.IOAO.**' id(<audtautd>) acc(r)
```

setr generic(dataset) refresh

CCI: CCI-001499

Group ID (Vulid): V-21592
 Group Title: ZB000002

Rule ID: SV-32153r1_rule

Severity: CAT II

Rule Version (STIG-ID): ZIOAR002

Rule Title: BMC IOA User data sets are not properly protected

Vulnerability Discussion: BMC IOA User data sets, IOA Core and Repository, have the ability to use privileged functions and/or have access to sensitive data.

Failure to properly restrict access to these data sets could result in violating the integrity of the base product which could result in compromising the operating system or sensitive data.

Responsibility: Information Assurance Officer

IACControls: DCSL-1, ECAR-1, ECAR-2, ECCD-1, ECCD-2

Check Content:

1. Check with your IOA or Systems Programming personnel and compile the list of

BMC IOA User data sets. Most likely similar to:

SYS3.IOA.*.IOAC.**.

2. From the Administrator Main Menu Choose Option 2 Security Server Commands.

3. Then choose Option: 3 Data Set.

4. Type the resource names collected in option 1. above into: Enter fully qualified (without quotes) data set or profile name: .

5. Hit enter.

6. Enter Y for Display covering profile?

7. Verify that the UACC is NONE.

8. Verify that Audit Successes and Failures specifies UPDATE or READ.

9. Tab down to Standard Access Permits and place an E next to it (hit enter)and

validate that UPDATE or higher access is limited to Systems Programming personnel, BMC STC(s) and/or batch user(s). Verify UPDATE access is limited to

BMC IOA Users.

Verify Read access is given to Auditors.

10. If Conditional Access Permits: _ (E to edit data) has *data is present* next to it, place an E next to it and validate that conditional access

permits of UPDATE or higher access is limited to Systems Programming personnel, BMC STC(s) and/or batch user(s). Verify UPDATE access is limited to

BMC IOA Users. Verify Read access is given to Auditors.

11. Repeat steps 2 through 10 for all datasets in option 1. above.
12. If 7, 8, 9 and 10 are all true, there is NO FINDING.
13. If 7, 8, 9 and 10 are not true, this is a FINDING.

Fix Text: The IAO will ensure that update and alter access to BMC IOA User data sets is limited to System Programmers and/or BMC IOA s STC(s) and/or batch user(s) only. Update access can be given to the Production Control and Scheduling personnel. Read access can be given to auditors.

The installing Systems Programmer will identify and document the product data sets and categorize them according to who will have update and alter access and if required that all update and alter access is logged. He will identify if any additional groups have update and/or alter access for specific data sets, and once documented he will work with the IAO to see that they are properly restricted to the ACP (Access Control Program) active on the system.

Data sets to be protected will be:
SYS3.IOA.*.IOAC.**

The following commands are provided as a sample for implementing data set controls:

```
ad 'SYS3.IOA.*.IOAC.**' uacc(none) owner(sys3) -
    audit(failures(read)) -
    data('BMC IOA User DS')
pe 'SYS3.IOA.*.IOAC.**' id(<syspautd>) acc(a)
pe 'SYS3.IOA.*.IOAC.**' id(<pcspautd>) acc(a)
pe 'SYS3.IOA.*.IOAC.**' id(IOAGATE) acc(a)
pe 'SYS3.IOA.*.IOAC.**' id(<bmcuser> <bmcbatch>) acc(a)
pe 'SYS3.IOA.*.IOAC.**' id(<audtautd>) acc(r)
```

setr generic(dataset) refresh

CCI: CCI-001499

Group ID (Vulid): V-17947
Group Title: ZB000020
Rule ID: SV-32065r1_rule

Severity: CAT II

Rule Version (STIG-ID): ZIOAR020

Rule Title: BMC IOA resources are not properly defined and protected.

Vulnerability Discussion: BMC IOA can run with sensitive system privileges, and potentially can circumvent system controls. Failure to properly control access to product resources could result in the compromise of the operating system environment, and compromise the confidentiality of customer data. Many utilities assign resource controls that can be granted to system programmers only in greater than read authority. Resources are also granted to certain non systems personnel with read only authority.

Responsibility: Information Assurance Officer

IACControls: ECCD-1, ECCD-2

Check Content:

Verify that the accesses to resources in the BMC CONTROL-O Resources table in the zOS STIG Addendum are properly restricted.

Note: To determine what resource class is used review the IOAClass setting in SECPARM to determine the resource class to use. Refer to ZIOA0040 for this setting.

a) Verify the resources identified in the BMC CONTROL-O Resources table in the zOS STIG Addendum are properly defined and access is restricted to the appropriate personnel.
For all the PROFILES found in BMC BMC IOA Resources table in the zOS STIG Addendum:

1. From the Administrator Main Menu Chose Option 3 Security Server Reports
2. then chose Option: 4 General Resource Profile
3. On the command line chose option 4 AND then Put (* or \$\$*) next to PROFILE: and (class name from ZIOA0040) next to CLASS:

Profile: from table (or specify \$\$* as all profile start with a \$\$)
Class: from ZIOA0040

4. Hit enter.
5. Verify that the UACC for all profiles listed is NONE
6. Place an S next to the profile and validate that the access list is appropriate (as

defined or more restrictive than the BMC IOA Resources table in the zOS STIG Addendum.

If TYPE is GROUP, place an S in the CMD line and hit enter to explode the GROUP.

7. For all resources with logging requirements place an LR next to the profile (hit enter and review the output) and validate that it specifies ALL(READ).

b) If all profiles, access lists, and Auditing are defined like or more restrictive than the BMC IOA Resources table in the zOS STIG Addendum, then there is NO FINDING.

c) If any Profile, Access list or Auditing is more permissive than the BMC IOA Resources table in the zOS STIG Addendum, then there is a FINDING.

Fix Text: The systems programmer will work with the IAO to verify that the following are properly specified in the ACP.

Ensure that the BMC IOA resources are protected as specified in the BMC IOA Resources table in the zOS STIG Addendum. This will include access by authorized users and logging requirements.

Sample:

```
rdef $ioa <ioaresource> uacc(none) owner(admin) data('bmc ioa resources')
audit(<as specified>)
pe <ioaresource> cl($ioa) id(<authorized user/group>) acc(<access level>)
```

CCI: CCI-000035

CCI: CCI-002234

Group ID (Vulid): V-17452
 Group Title: ZB000030
 Rule ID: SV-32077r1_rule
 Severity: CAT II
 Rule Version (STIG-ID): ZIOAR030
 Rule Title: BMC IOA Started Task name is not properly identified / defined to the system ACP.

Vulnerability Discussion: BMC IOA requires a started task that will be restricted to certain resources, datasets and other system functions. By

defining the started task as a userid to the system ACP, It allows the ACP to control the access and authorized users that require these capabilities. Failure to properly control these capabilities, could compromise of the operating system environment, ACP, and customer data.

Responsibility: Information Assurance Officer

IAControls: ECCD-1, ECCD-2

Check Content:

- a) From Analyzer main Menu, go to 3;4; Press ENTER
- b) Key in SORT PROCNAME; Press ENTER
- c) Key in L IOAGATE; Press ENTER
- d) If not found then IOAGATE; is not defined to RACF as a STC user.
- e) If found then use the U line command to determine if the userid is defined to RACF.
- f) The userid is defined to RACF if a userid display appears. If not defined you should see the message No data to display .
- g) now press f3 to go back to the previous display. If no R is next to the entry then the user is protected.
- h) If an R is next to the entry, place an M on the command line and validate the following is NOT displayed:
VSA346R The user ID does not have the protected attribute.
- i) If the userid for the BMC IOA started task is defined to the security database and is protected, there is NO FINDING.
- j) If the userid for the BMC IOA started task is not defined to the security database, or is defined but does not have the protected attribute, this is a FINDING.

Fix Text: The BMC IOA system programmer and the IAO will ensure that a product's

Started Task(s) is properly Identified / defined to the System ACP.

If the product requires a Started Task, verify that it is properly defined to the System ACP with the proper attributes.

Most installation manuals will indicate how the Started Task is identified and any additional attributes that must be specified.

A sample is provided here:

```
au IOAGATE name('stc, BMC IOA') owner(stc) dfltgrp(stc) nopass
```

CCI: CCI-000764

Group ID (Vulid): V-17454
Group Title: ZB000032
Rule ID: SV-32181r1_rule
Severity: CAT II
Rule Version (STIG-ID): ZIOAR032
Rule Title: BMC IOA Started task is not properly defined to the STARTED resource class for RACF.

Vulnerability Discussion: Access to product resources should be restricted to only those individuals responsible for the application connectivity and who have a requirement to access these resources. Improper control of product resources could potentially compromise the operating system, ACP, and customer data.

Responsibility: Information Assurance Officer
IAControls: ECCD-1, ECCD-2

Check Content:

a) Use Vanguard's Analyzer product to look at the Started Procedures Analysis report:

1. From Analyzer main Menu, go to 3;4; Press ENTER
2. Key in SORT PROCNAME; Press ENTER
3. Key in L IOAGATE or the IOAGATE started task name; Press ENTER
4. Look at the source column. It will indicate STARTED class profile or ICHRIN03 entry.
5. If not found then the IOAGATE started task is not defined to RACF as an STC user.

b) If a STARTED resource class profile exists for the IOAGATE STC, there is NO FINDING.

c) If neither a STARTED resource class profile or an ICHRIN03 entry exists for the IOAGATE STC, this is a FINDING.

Fix Text: The BMC IOA system programmer and the IAO will ensure that a product's Started Task(s) is properly Identified / defined to the System ACP.

A unique userid must be assigned for the IOAGATE started task thru a

corresponding STARTED class entry.

A sample set of commands is shown here:

```
rdef started IOAGATE.** uacc(none) owner(admin) audit(all(read))
    stdata(user(IOAGATE) group(stc))
setr racl(started) ref
```

CCI: CCI-000764

Group ID (Vulid): V-18014
 Group Title: ZB000040
 Rule ID: SV-31959r1_rule
 Severity: CAT II
 Rule Version (STIG-ID): ZIOAR040
 Rule Title: BMC IOA configuration/parameter values are not specified properly.

Vulnerability Discussion: BMC IOA configuration/parameters control the security and operational characteristics of products. If these parameter values are improperly specified, security and operational controls may be weakened. This exposure may threaten the availability of the product applications, and compromise the confidentiality of customer data.

Responsibility: Systems Programmer
 IAControls: ECCD-1, ECCD-2

Check Content:

The following keywords will have the specified values in the BMC IOA security parameter member:

Keyword	Value
DEFMCHKI	\$\$IOAEDM
SECTOLI	NO
DFMI06	EXTEND
DFMI07	EXTEND
DFMI09	EXTEND
DFMI12	EXTEND
DFMI16	EXTEND
DFMI32	EXTEND
DFMI40	EXTEND
DFMI42	EXTEND
IOACCLASS	\$IOA
RACSCLAS	SURROGAT
IOATCBS	YES

Fix Text: The BMC IOA Systems programmer will verify that any configuration/parameters that are required to control the security of the

product are properly configured and syntactically correct. Set the standard values for the BMC IOA security parameters for the specific ACP environment along with additional IOA security parameters with standard values as documented below.

Keyword	Value
DEFMCHKI	\$\$IOAEDM
SECTOLI	NO
DFMI06	EXTEND
DFMI07	EXTEND
DFMI09	EXTEND
DFMI12	EXTEND
DFMI16	EXTEND
DFMI32	EXTEND
DFMI40	EXTEND
DFMI42	EXTEND
IOACCLASS	\$IOA
RACSCLAS	SURROGAT
IOATCBS	YES

CCI: CCI-000035

UNCLASSIFIED